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THE SUPERIORITY OF PRE-CRITICAL EXEGESIS

BY DAVID C. STEINMETZ

"The medieval theory of levels of meaning in the biblical text, with all its undoubted defects, flourished because it is true, while the modern theory of a single meaning, with all its demonstrable virtues, is false. Until the historical-critical method becomes critical of its own theoretical foundations and develops a hermeneutical theory adequate to the nature of the text which it is interpreting, it will remain restricted—as it deserves to be—to the guild and the academy, where the question of truth can endlessly be deferred."

IN 1859 Benjamin Jowett, then Regius Professor of Greek in the University of Oxford, published a justly famous essay on the interpretation of Scripture. Jowett argued that "Scripture has one meaning—the meaning which it had in the mind of the Prophet or Evangelist who first uttered or wrote, to the hearers or readers who first received it." Scripture should be interpreted like any other book and the later accretions and venerated traditions surrounding its interpretation should, for the most part, either be brushed aside or severely discounted. "The true use of interpretation is to get rid of interpretation, and leave us alone in company with the author." Jowett did not foresee great difficulties in the way of the recovery of the original meaning of the text. Proper interpretation requires imagination, the ability to put oneself into an alien cultural situation, and knowledge of the language and history of the ancient people whose literature one sets out to interpret. In the case of the Bible, one has also to bear in mind the progressive nature of revelation and the superiority of certain later religious insights to certain earlier ones. But the
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interpreter, armed with the proper linguistic tools, will find that "... universal truth easily breaks through the accidents of time and place" and that such truth still speaks to the condition of the unchanging human heart.

Of course, critical biblical studies have made enormous strides since the time of Jowett. No reputable biblical scholar would agree today with Jowett's reconstruction of the gospels in which Jesus appears as a "teacher... speaking to a group of serious, but not highly educated, working men, attempting to inculcate in them a loftier and sweeter morality." Still, the quarrel between modern biblical scholarship and Benjamin Jowett is less a quarrel over his hermeneutical theory than it is a disagreement with him over the application of that theory in his exegetical practice. Biblical scholarship still hopes to recover the original intention of the author of a biblical text and still regards the pre-critical exegetical tradition as an obstacle to the proper understanding of the true meaning of that text. The most primitive meaning of the text is its only valid meaning, and the historical-critical method is the only key which can unlock it.

But is that hermeneutical theory true?

I think it is demonstrably false. In what follows I want to examine the pre-critical exegetical tradition at exactly the point at which Jowett regarded it to be most vulnerable—namely, in its refusal to bind the meaning of any pericope to the intention, whether explicit or merely half-formed, of its human author. Medieval theologians defended the proposition, so alien to modern biblical studies, that the meaning of Scripture in the mind of the prophet who first uttered it is only one of its possible meanings and may not, in certain circumstances, even be its primary or most important meaning. I want to show that this theory (in at least that respect) was superior to the theories which replaced it. When biblical scholarship shifted from the hermeneutical position of Origen to the hermeneutical position of Jowett, it gained something important and valuable. But it lost something as well, and it is the painful duty of critical scholarship to assess its losses as well as its gains.

I

Medieval hermeneutical theory took as its point of departure the words of St. Paul: "The letter kills but the spirit makes alive" (II Cor. 3:6). Augustine suggested that this text could be understood in either one of two ways. On the one hand, the distinction between letter and spirit could be a distinction between law and gospel, between demand and grace. The letter kills because it demands an obedience of the sinner which the sinner is powerless to render. The Spirit makes alive because

4 Ibid., p. 412.
it infuses the forgiven sinner with new power to meet the rigorous requirements of the law.

But Paul could also have in mind a distinction between what William Tyndale later called the "story-book" or narrative level of the Bible and the deeper theological meaning or spiritual significance implicit within it. This distinction was important for at least three reasons. Origen stated the first reason with unforgettable clarity:

Now what man of intelligence will believe that the first and the second and the third day, and the evening and the morning existed without the sun and moon and stars? And that the first day, if we may so call it, was even without a heaven? And who is so silly as to believe that God, after the manner of a farmer, "planted a paradise eastward in Eden," and set in it a visible and palpable "tree of life," of such a sort that anyone who tasted its fruit with his bodily teeth would gain life; and again that one could partake of "good and evil" by masticating the fruit taken from the tree of that name? And when God is said to "walk in the paradise in the cool of the day" and Adam to hide himself behind a tree, I do not think anyone will doubt that these are figurative expressions which indicate certain mysteries through a semblance of history and not through actual event."

Simply because a story purports to be a straightforward historical narrative does not mean that it is in fact what it claims to be. What appears to be history may be metaphor or figure instead and the interpreter who confuses metaphor with literal fact is an interpreter who is simply incompetent. Every biblical story means something, even if the narrative taken at face value contains absurdities or contradictions. The interpreter must demythologize the text in order to grasp the sacred mystery cloaked in the language of actual events.

The second reason for distinguishing between letter and spirit was the thorny question of the relationship between Israel and the church, between the Greek Testament and the Hebrew Bible. The church regarded itself as both continuous and discontinuous with ancient Israel. Because it claimed to be continuous, it felt an unavoidable obligation to interpret the Torah, the prophets, and the writings. But it was precisely this claim of continuity, absolutely essential to Christian identity, which created fresh hermeneutical problems for the church.

How was a French parish priest in 1150 to understand Psalm 137, which bemoans captivity in Babylon, makes rude remarks about Edomites, expresses an ineradicable longing for a glimpse of Jerusalem, and pronounces a blessing on anyone who avenges the destruction of the temple by dashing Babylonian children against a rock? The priest lives in Concale, not Babylon, has no personal quarrel with Edomites, cherishes no ambitions to visit Jerusalem (though he might fancy a holiday in Paris), and is expressly forbidden by Jesus to avenge himself on his enemies. Unless Psalm 137 has more than one possible meaning,

it cannot be used as a prayer by the church and must be rejected as a lament belonging exclusively to the piety of ancient Israel.

A third reason for distinguishing letter from spirit was the conviction, expressed by Augustine, that while all Scripture was given for the edification of the church and the nurture of the three theological virtues of faith, hope, and love, not all the stories in the Bible are edifying as they stand. What is the spiritual point of the story of the drunkenness of Noah, the murder of Sisera, or the oxgoad of Shamgar, son of Anath? If it cannot be found on the level of narrative, then it must be found on the level of allegory, metaphor, and type.

That is not to say that patristic and medieval interpreters approved of arbitrary and undisciplined exegesis, which gave free rein to the imagination of the exegete. Augustine argued, for example, that the more obscure parts of Scripture should be interpreted in the light of its less difficult sections and that no allegorical interpretation could be accepted which was not supported by the “manifest testimonies” of other less ambiguous portions of the Bible. The literal sense of Scripture is basic to the spiritual and limits the range of possible allegorical meanings in those instances in which the literal meaning of a particular passage is absurd, undercuts the living relationship of the church to the Old Testament, or is spiritually barren.

II

From the time of John Cassian, the church subscribed to a theory of the fourfold sense of Scripture. The literal sense of Scripture could and usually did nurture the three theological virtues, but when it did not, the exegete could appeal to three additional spiritual senses, each sense corresponding to one of the virtues. The allegorical sense taught about the church and what it should believe, and so it corresponded to the virtue of faith. The tropological sense taught about individuals and what they should do, and so it corresponded to the virtue of love. The anagogical sense pointed to the future and wakened expectation, and so it corresponded to the virtue of hope. In the fourteenth century Nicholas of Lyra summarized this hermeneutical theory in a much quoted little rhyme:

Littera gesta docet,
Quid credas allegoria,
Moralis quid agas,
Quo tendas anagogia.

This hermeneutical device made it possible for the church to pray directly and without qualification even a troubling Psalm like 137. After all, Jerusalem was not merely a city in the Middle East; it was, according to the allegorical sense, the church; according to the tropolog-

7For a brief survey of medieval hermeneutical theory which takes into account recent historical research see James S. Preus, From Shadow to Promise (Cambridge, Mass.: Harvard University Press, 1969), pp. 9–149; see also the useful bibliography, pp. 287–93.
ical sense, the faithful soul; and according to the anagogical sense, the center of God’s new creation. The Psalm became a lament of those who long for the establishment of God’s future kingdom and who are trapped in this disordered and troubled world, which with all its delights is still not their home. They seek an abiding city elsewhere. The imprecations against the Edomites and the Babylonians are transmuted into condemnations of the world, the flesh, and the devil. If you grant the fourfold sense of Scripture, David sings like a Christian.

III

Thomas Aquinas wanted to ground the spiritual sense of Scripture even more securely in the literal sense than it had been grounded in Patristic thought. Returning to the distinction between “things” and “signs” made by Augustine in De doctrina christiana (though Thomas preferred to use the Aristotelian terminology of “things” and “words”), Thomas argued that while words are the signs of things, things designated by words can themselves be the signs of other things. In all merely human sciences, words alone have a sign-character. But in Holy Scripture, the things designated by words can themselves have the character of a sign. The literal sense of Scripture has to do with the sign-character of words; the spiritual sense of Scripture has to do with the sign-character of things. By arguing this way, Thomas was able to show that the spiritual sense of Scripture is always based on the literal sense and derived from it.

Thomas also redefined the literal sense of Scripture as “the meaning of the text which the author intends.” Lest Thomas be confused with Jowett, I should hasten to point out that for Thomas the author was God, not the human prophet or apostle. In the fourteenth century, Nicholas of Lyra, a Franciscan exegete and one of the most impressive biblical scholars produced by the Christian church, built a new hermeneutical argument on the aphorism of Thomas. If the literal sense of Scripture is the meaning which the author intended (presupposing that the author whose intention finally matters is God), then is it possible to argue that Scripture contains a double literal sense? Is there a literal-historical sense (the original meaning of the words as spoken in their first historical setting) which includes and implies a literal-prophetic sense (the larger meaning of the words as perceived in later and changed circumstances)?

Nicholas not only embraced a theory of the double literal sense of Scripture, but he was even willing to argue that in certain contexts the literal-prophetic sense takes precedence over the literal-historical. Commenting on Psalm 117, Lyra wrote: “The literal sense in this Psalm concerns Christ; for the literal sense is the sense primarily intended by the author.” Of the promise to Solomon in I Chronicles 17:13, Lyra observed: “The aforementioned authority was literally fulfilled in Solomon; however, it was fulfilled less perfectly, because Solomon was a
son of God only by grace; but it was fulfilled more perfectly in Christ, who is the Son of God by nature."

For most exegetes, the theory of Nicholas of Lyra bound the interpreter to the dual task of explaining the historical meaning of a text while elucidating its larger and later spiritual significance. The great French humanist, Jacques Lefèvre d'Étaples, however, pushed the theory to absurd limits. He argued that the only possible meaning of a text was its literal-prophetic sense and that the literal-historical sense was a product of human fancy and idle imagination. The literal-historical sense is the "letter which kills." It is advocated as the true meaning of Scripture only by carnal persons who have not been regenerated by the life-giving Spirit of God. The problem of the proper exegesis of Scripture is, when all is said and done, the problem of the regeneration of its interpreters.

IV

In this brief survey of medieval hermeneutical theory, there are certain dominant themes which recur with dogged persistence. Medieval exegetes admit that the words of Scripture had a meaning in the historical situation in which they were first uttered or written, but they deny that the meaning of those words is restricted to what the human author thought he said or what his first audience thought they heard. The stories and sayings of Scripture bear an implicit meaning only understood by a later audience. In some cases that implicit meaning is far more important than the restricted meaning intended by the author in his particular cultural setting.

Yet the text cannot mean anything a later audience wants it to mean. The language of the Bible opens up a field of possible meanings. Any interpretation which falls within that field is valid exegesis of the text, even though that interpretation was not intended by the author. Any interpretation which falls outside the limits of that field of possible meanings is probably eisegesis and should be rejected as unacceptable. Only by confessing the multiple sense of Scripture is it possible for the church to make use of the Hebrew Bible at all or to recapture the various levels of significance in the unfolding story of creation and redemption. The notion that Scripture has only one meaning is a fantastic idea and is certainly not advocated by the biblical writers themselves.

V

Having elucidated medieval hermeneutical theory, I should like to take some time to look at medieval exegetical practice. One could get the impression from Jowett that because medieval exegetes rejected the theory of the single meaning of Scripture so dear to Jowett's heart, they let their exegetical imaginations run amok and exercised no discipline at all in clarifying the field of possible meanings opened by the biblical text. In fact, medieval interpreters, once you grant the presuppositions
on which they operate, are as conservative and restrained in their approach to the Bible as any comparable group of modern scholars.

In order to test medieval exegetical practice I have chosen a terribly difficult passage from the Gospel of Matthew, the parable of the Good Employer or, as it is more frequently known, the parable of the Workers in the Vineyard (Matt. 20:1–16). The story is a familiar one. An employer hired day laborers to work in his vineyard at dawn and promised them the standard wage of a denarius. Because he needed more workers, he returned to the market place at nine, noon, three, and five o’clock and hired any laborers he could find. He promised to pay the workers hired at nine, noon, and three what was fair. But the workers hired at the eleventh hour or five o’clock were sent into the vineyard without any particular promise concerning remuneration. The employer instructed his foreman to pay off the workers beginning with the laborers hired at five o’clock. These workers expected only one-twelfth of a denarius, but were given the full day’s wage instead. Indeed, all the workers who had worked part of the day were given one denarius. The workers who had been in the vineyard since dawn accordingly expected a bonus beyond the denarius, but they were disappointed to receive the same wage which had been given to the other, less deserving workers. When they grumbled, they were told by the employer that they had not been defrauded but had been paid according to an agreed contract. If the employer chose to be generous to the workers who had only worked part of the day, that was, in effect, none of their business. They should collect the denarius that was due them and go home like good fellows.

Jesus said the kingdom of God was like this story. What on earth could he have meant?

VI

The church has puzzled over this parable ever since it was included in Matthew’s Gospel. St. Thomas Aquinas in his Lectura super Evangelium Sancti Matthaei offered two interpretations of the parable, one going back in its lineage to Irenaeus and the other to Origen. The “day” mentioned in the parable can either refer to the life-span of an individual (the tradition of Origen), in which case the parable is a comment on the various ages at which one may be converted to Christ, or it is a reference to the history of salvation (the tradition of Irenaeus), in which case it is a comment on the relationship of Jew and Gentile.

If the story refers to the life span of a man or woman, then it is intended as an encouragement to people who are converted to Christ late in life. The workers in the story who begin at dawn are people who have served Christ and have devoted themselves to the love of God and neighbor since childhood. The other hours mentioned by Jesus refer to the various stages of human development from youth to old age. Whether one has served Christ for a long time or for a brief moment, one will still receive the gift of eternal life. Thomas qualifies this
somewhat in order to allow for proportional rewards and a hierarchy in heaven. But he does not surrender the main point: eternal life is given to late converts with the same generosity it is given to early converts.

On the other hand, the story may refer to the history of salvation. Quite frankly, this is the interpretation which interests Thomas most. The hours mentioned in the parable are not stages in individual human development but epochs in the history of the world from Adam to Noah, from Noah to Abraham, from Abraham to David, and from David to Christ. The owner of the vineyard is the whole Trinity, the foreman is Christ, and the moment of reckoning is the resurrection from the dead. The workers who are hired at the eleventh hour are the Gentiles, whose complaint that no one has offered them work can be interpreted to mean that they had no prophets as the Jews have had. The workers who have borne the heat of the day are the Jews, who grumble about the favoritism shown to latecomers, but who are still given the denarius of eternal life. As a comment on the history of salvation, the parable means that the generosity of God undercuts any advantage which the Jews might have had over the Gentiles with respect to participation in the gifts and graces of God.

Not everyone read the text as a gloss on Jewish-Christian relations or as a discussion of late conversion. In the fourteenth century the anonymous author of the *Pearl*, an elegy on the death of a young girl, applied the parable to infancy rather than to old age. What is important about the parable is not the chronological age at which one enters the vineyard, but the fact that some workers are only in the vineyard for the briefest possible moment. A child who dies at the age of two years is, in a sense, a worker who arrives at the eleventh hour. The parable is intended as a consolation for bereaved parents. A parent who has lost a small child can be comforted by the knowledge that God, who does not despise the service of persons converted in extreme old age, does not withhold his mercy from boys and girls whose eleventh hour came at dawn.

Probably the most original interpretation of the parable was offered by John Pupper of Goch, a Flemish theologian of the fifteenth century, who used the parable to attack the doctrine of proportionality, particularly as that doctrine had been stated and defended by Thomas Aquinas. No one had ever argued that God gives rewards which match in exact quantity the weight of the good works done by a Christian. That is arithmetic equality and is simply not applicable to a relationship in which people perform temporal acts and receive eternal rewards. But most theologians did hold to a doctrine of proportionality; while there is a disproportion between the good works which Christians do and the rewards which they receive, there is a proportion as well. The reward is always much larger than the work which is rewarded, but the greater the work, the greater the reward.

As far as Goch is concerned, that doctrine is sheer nonsense. No one can take the message of the parable of the vineyard seriously and still
hold to the doctrine of proportionality. Indeed, the only people in the vineyard who hold to the doctrine of proportionality are the first workers in the vineyard. They argue that twelve times the work should receive twelve times the payment. All they receive for their argument is a rebuke and a curt dismissal.

Martin Luther, in an early sermon preached before the Reformation in 1517, agreed with Goch that God gives equal reward for great and small works. It is not by the herculean size of our exertions but by the goodness of God that we receive any reward at all.

But Luther, unfortunately, spoiled his point by elaborating a thoroughly unconvincing argument in which he tried to show that the last workers in the vineyard were more humble than the first and therefore that one hour of their service was worth twelve hours of the mercenary service of the grumblers.

The parable, however, seems to make exactly the opposite point. The workers who began early were not more slothful or more selfish than the workers who began later in the day. Indeed, they were fairly representative of the kind of worker to be found hanging around the marketplace at any hour. They were angry, not because they had shirked their responsibilities, but because they had discharged them conscientiously.

In 1525 Luther offered a fresh interpretation of the parable, which attacked it from a slightly different angle. The parable has essentially one point: to celebrate the goodness of God which makes nonsense of a religion based on law-keeping and good works. God pays no attention to the proportionately greater efforts of the first workers in the vineyard, but to their consternation, God puts them on exactly the same level as the last and least productive workers. The parable shows that everyone in the vineyard is unworthy, though not always for the same reason. The workers who arrive after nine o’clock are unworthy because they are paid a salary incommensurate with their achievement in picking grapes. The workers who spent the entire day in the vineyard are unworthy because they are dissatisfied with what God has promised, think that their efforts deserve special consideration, and are jealous of their employer’s goodness to workers who accomplished less than they did. The parable teaches that salvation is not grounded in human merit and that there is no system of bookkeeping which can keep track of the relationship between God and humanity. Salvation depends utterly and absolutely on the goodness of God.

VII

The four medieval theologians I have mentioned—Thomas Aquinas, the author of the *Pearl*, the Flemish chaplain Goch, and the young Martin Luther—did not exhaust in their writings all the possible interpretations of the parable of the Workers in the Vineyard. But they did see with considerable clarity that the parable is an assertion of God’s generosity and mercy to people who do not deserve it. It is only against the background of the generosity of God that one can under-
stand the relationship of Jew and Gentile, the problem of late conversion, the meaning of the death of a young child, the question of proportional rewards, even the very definition of grace itself. Every question is qualified by the severe mercy of God, by the strange generosity of the owner of the vineyard who pays the non-productive latecomer the same wage as his oldest and most productive employees.

If you were to ask me which of these interpretations is valid, I should have to respond that they all are. They all fall within the field of possible meanings created by the story itself. How many of those meanings were in the conscious intention of Jesus or of the author of the Gospel of Matthew, I do not profess to know. I am inclined to agree with C. S. Lewis, who commented on his own book, *Till We Have Faces*: “An author doesn’t necessarily understand the meaning of his own story better than anyone else. . . .”8 The act of creation confers no special privileges on authors when it comes to the distinctly different, if lesser task of interpretation. Wordsworth the critic is not in the same league with Wordsworth the poet, while Samuel Johnson the critic towers over Johnson the creative artist. Authors obviously have something in mind when they write, but a work of historical or theological or aesthetic imagination has a life of its own.

VIII

Which brings us back to Benjamin Jowett. Jowett rejected medieval exegesis and insisted that the Bible should be read like any other book.9 I agree with Jowett that the Bible should be read like any other book. The question is: how does one read other books?

Take, for example, my own field of Reformation studies. Almost no historian that I know would answer the question of the meaning of the writings of Martin Luther by focusing solely on Luther’s explicit and conscious intention. Marxist interpreters of Luther from Friedrich Engels to Max Steinmetz have been interested in Luther’s writings as an expression of class interests, while psychological interpreters from Grisar to Erikson have focused on the theological writings as clues to the inner psychic tensions in the personality of Martin Luther. Even historians who reject Marxist and psychological interpretations of Luther find themselves asking how Luther was understood in the free imperial cities, by the German knights, by the landed aristocracy, by the various subgroups of German peasants, by the Catholic hierarchy, by lawyers, by university faculties—to name only a few of the more obvious groups who responded to Luther and left a written record of their response. Meaning involves a listener as well as a speaker, and when one asks the question of the relationship of Luther to his various audiences in early modern Europe, it becomes clear that there was not one Luther in the sixteenth century, but a battalion of Luthers.

Nor can the question of the meaning of Luther's writings be answered by focusing solely on Luther's contemporaries. Luther's works were read and pondered in a variety of historical and cultural settings from his death in 1546 to the present. Those readings of Luther have had measurable historical effects on succeeding generations, whose particular situation in time and space could scarcely have been anticipated by Luther. Yet the social, political, economic, cultural, and religious history of those people belongs intrinsically and inseparably to the question of the meaning of the theology of Martin Luther. The meaning of historical texts cannot be separated from the complex problem of their reception and the notion that a text means only what its author intends it to mean is historically naive. Even to talk of the original setting in which words were spoken and heard is to talk of meanings rather than meaning. To attempt to understand those original meanings is the first step in the exegetical process, not the last and final step.

Modern literary criticism has challenged the notion that a text means only what its author intends it to mean far more radically than medieval exegetes ever dreamed of doing. Indeed, contemporary debunking of the author and the author's explicit intentions has proceeded at such a pace that it seems at times as if literary criticism has become a jolly game of ripping out an author's shirt-tail and setting fire to it. The reader and the literary work to the exclusion of the author have become the central preoccupation of the literary critic. Literary relativists of a fairly moderate sort insist that every generation has its own Shakespeare and Milton, and extreme relativists loudly proclaim that no reader reads the same work twice. Every change in the reader, however slight, is a change in the meaning of the text. Imagine what Thomas Aquinas or Nicholas of Lyra would have made of the famous statement of Northrop Frye:

> It has been said of Boehme that his books are like a picnic to which the author brings the words and the reader the meaning. The remark may have been intended as a sneer at Boehme, but it is an exact description of all works of literary art without exception.¹⁰

Medieval exegetes held to the sober middle way, the position that the text (any literary text, but especially the Bible) contains both letter and spirit. The text is not all letter, as Jowett with others maintained, or all spirit, as the rather more enthusiastic literary critics in our own time are apt to argue. The original text as spoken and heard limits a field of possible meanings. Those possible meanings are not dragged by the hair, willy-nilly, into the text, but belong to the life of the Bible in the encounter between author and reader as they belong to the life of any act of the human imagination. Such a hermeneutical theory is capable

¹⁰This quotation is cited by E. D. Hirsch, Jr., *Validity in Interpretation* (New Haven: Yale University Press, 1967), p. 1, at the beginning of a chapter which sets out to elaborate an alternative theory.
of sober and disciplined application and avoids the Scylla of extreme subjectivism, on the one hand, and the Charybdis of historical positivism, on the other. To be sure, medieval exegetes made bad mistakes in the application of their theory, but they also scored notable and brilliant triumphs. Even at their worst they recognized that the intention of the author is only one element—and not always the most important element at that—in the complex phenomenon of the meaning of a text.

IX

The defenders of the single meaning theory usually concede that the medieval approach to the Bible met the religious needs of the Christian community, but that it did so at the unacceptable price of doing violence to the biblical text. The fact that the historical-critical method after two hundred years is still struggling for more than a precarious foothold in that same religious community is generally blamed on the ignorance and conservatism of the Christian laity and the sloth or moral cowardice of its pastors.

I should like to suggest an alternative hypothesis. The medieval theory of levels of meaning in the biblical text, with all its undoubted defects, flourished because it is true, while the modern theory of a single meaning, with all its demonstrable virtues, is false. Until the historical-critical method becomes critical of its own theoretical foundations and develops a hermeneutical theory adequate to the nature of the text which it is interpreting, it will remain restricted—as it deserves to be—to the guild and the academy, where the question of truth can endlessly be deferred.